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By

*Karen M. Forsyth*

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#4

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) : James P. Dourish  
TITLE : **AN ACTIVE ANNOTATION MECHANISM FOR DOCUMENT MANAGEMENT SYSTEMS**  
APPLICATION NO. : 09/443,702  
FILED : November 19, 1999  
CONFIRMATION NO. : 7610  
EXAMINER : Maikhanh Nguyen  
ART UNIT : 2176  
LAST OFFICE ACTION : April 25, 2003  
ATTORNEY DOCKET NO.: D/99330  
XERZ 2 00299

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. 1.56, 1.97, 1.98 and MPEP 609, applicant(s) submit(s) the following Disclosure Statement concerning art of which the applicant(s) is(are) aware. A copy of PTO-1449 is enclosed herewith.

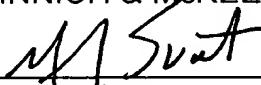
Under 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patents require no further explanation (or no translation is available).

Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

- WITHIN THREE MONTHS OF FILING:** Under 1.97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 24-0037.
- BEFORE FIRST OFFICE ACTION:** Under 1.97(b)(3), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 24-0037.
- BEFORE FINAL ACTION/WITH FEE:** Under 1.97(c)(2), this information shall be considered if filed before the mailing date of a final action if accompanied by a fee in the amount of \$180.00 as required by 1.17(p). The required fees should be charged to Deposit Account No. 06-0308.
- INTERNATIONAL SEARCH REPORT:** Under 1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 24-0037.

Respectfully submitted,

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